



## Micro-machined Pyro-optical Structure

### References Cited

1. Charles T. Elliott et al, "Thermal Imager", US Patent 4,594,507 issued June 10, 1986
2. Charles M. Hanson, "Thermal Imaging System With a Monolithic Focal Plane Array and Method", US Patent 5,512,748 issued April 30, 1996
3. Robert A. Owen et al, "Thermal Isolation of Monolithic Thermal Detector", US Patent 6,087,661 issued July 11, 2000
4. Judith A. Ruffner, "Uncooled Thin Film Pyroelectric IR Detector With Aerogel Thermal Isolation", US Patent 5,949,071 issued Sept 7, 1999
5. Jean J. A. Robillard, "Infrared Imaging System and Method", US Patent 4,751,387 issued June 14, 1988
6. Leslie E. Cross et al, "Pyro-optic Detector and Imager", US Patent 4,994,672 issued Feb 19, 1991
7. Amitava Gupta et al, "Broadband Optical Radiation Detector", US Patent 4,262, 198 issued April 14, 1981
8. Michael J. Tuck et al, "Thermal Imaging Optical System", US Patent 5,100,218 issued March 31, 1992
9. William N. Carr and Xi-qing Sun, "Optical Microshutter Array", US Patent 5,781,331 issued July 14, 1998
10. William N. Carr, "Thermal Microplatform", US Patent 6,091,050 issued July 18, 2000.
11. Charles M. Hanson et al, "Thermal Imaging System with Integrated Thermal Chopper", US Patent 5,486,698

## **Micromachined Pyro-optical Structure**

### **Technical field of the invention**

This invention relates generally to thermal sensing of low-level radiation of infrared or millimeter wavelengths and more particularly to a pyro-optical pixel structure and focal plane array with means for maintaining a nominal temperature. This invention describes a method of sensing incident radiation using a highly sensitive thermal thin film structure. In its embodiment as an array, a thermal image obtained typically from infrared wavelengths is interrogated using an optical carrier beam and readout with conventional CCD or CMOS silicon imagers.

**Cross reference to related applications:** This application was originally filed as 60/249721 dated Nov 20, 2000 with the US Patent and Trademark Office.

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**Background of the invention:** Thermal-based sensor systems typically use a pixel that is highly sensitive to temperature differentials. This minute temperature differential is read out using conversion techniques into an electrical signal. The basic components for a thermal imaging system generally include optics for collecting and focusing the incident irradiation from a scene onto an imaging focal plane. A chopper is often included in a thermal imaging system to produce a constant background radiance which provides a reference signal. The electronic processing portion of the thermal imaging system will subtract the reference signal from the total radiance signal to produce an output signal with a minimum background noise level.

The concept of using a pyro-optical material as a sensor to detect radiation by modulating a carrier beam was first disclosed by Elliott in US Patent 4,594,507. This concept is cited as prior art in Fig. 1 as an architectural representation of a system with an optical carrier source 1 and an external radiation source 2 illuminating a pyro-optical pixel 3 with a photodetector 4 to monitor the amplitude of the carrier source 2 modulated by the transmissivity of the pyro-optical pixel 3. The present invention is an improved sensor pixel based on the concept of Fig. 1. The present invention describes a micromachined pixel containing a pyro-optical film integral to a thermally isolated platform and positioned above a temperature-referenced substrate.

The thermal imager of Elliott (US patent 4,594,507 ) includes a preferred embodiment of an optically active nematic crystal with a polarizer analyzer that is illuminated from an external light source of unspecified type. This thermal imager operates with an external

photodetector of unspecified type illuminated by the external light source through the nematic, temperature sensitive crystal. The result is an image converter operating with the nematic crystal as the modulator . The system detailed by Elliott operates within an oven typically at 28 deg C and is specified for imaging infrared irradiation only. Individual pixel structures are not disclosed or claimed and thus items such as separate pixel heaters and micromachined structures are not embodied in this invention. The Elliott system does not use compactness of construction since the external light source and photodetector are not integrated into the structure containing the nematic liquid crystal. Thermal isolation structures surrounding the nematic crystal film and any specific type of optical light source are not mentioned. Performance-enhancing interferometric structures are not mentioned.

Hanson in US patent 5,512,748 discloses a thermal imaging system containing a focal plane array in which a visible or near-infrared source is used to transfer an image from a transmissivity-modulated pyro-optical film layer onto an associated integrated circuit photodetector. The photodetector integrated into the substrate generates a bias signal representing the total radiance imaged from a remote low-level scene. A thermal sensor is described which contains infrared-sensitive material supported by two bifurcated support arms and nonflexing posts to maintain this film layer above the substrate with a gap therebetween. The thickness of the infrared-sensitive material is not mentioned except to note that it is preferably "very thin to enhance it's response to incident infrared radiation and to allow transmission of electromagnetic energy therethrough" (col. 7,line 8 ) without mention of Fabry Perot characteristics. The gap under the sensitive film is said to preferably correspond to  $\frac{1}{4}$  wavelength of the selected

infrared incident radiation wavelength to provide maximum reflection of the infrared from the semiconductor substrate to the infrared-sensitive film. Hanson does not disclose or claim the use of electrical heater elements or any means of temperature control within or without the infrared sensitive pixel. Hanson does not disclose or claim the use of vacuum surrounding the infrared-sensitive pixel.

Owen in US patent 5,087,661 describes a structure with electrically conducting tetherbeams forming a signal flow path for readout from a pyroelectric pixel material. The tetherbeams further provide a thermal isolation for the pyroelectric sensor microplatform.

Ruffner et al in US patent 4,751,387 describes the use of a silica foam called aerogel as a solid, thermal isolation film formed between the pyroelectric capacitor and an underlying substrate as part of a specific infrared-sensitive pixel design without claims describing components external to the pixel. The use of pyro-optical sensitive materials is not disclosed or claimed. The Ruffner patent does not mention heating elements or ovens, vacuum conditions, the use of any optical carrier interrogation beams, pyro-optical materials, or the use of performance-enhancing interferometric structures.

Robillard in US patent 4,751,387 describes an infrared imaging system comprising a pyro-optic film consisting of dichroic liquid crystal coated on a membrane with a means of polarized visible light illumination onto the crystal film. In addition a means for analyzing the polarization of the visible light carrier after reflection from or transmission through the crystal film is included in a system where the readout described is the human eye. Robillard does not disclose or claim any micromachined structures, thermal isolation structures, the use of partial vacuum, ovens, or pixel heaters.

Cross in US patent 4,994,672 describes an infrared imaging system including a sandwich structure of polarizing pyro-optic material formed over an optically transparent, thermally insulating foam such as silica aerogel. The reflectance (not transmission) of an interrogating light beam is modulated by the temperature of the material and is used to illuminate a pixel image onto a CCD. A container means is provided for enclosing the pyro-optical material and maintaining a stable temperature. The Cross system requires the use of polarized light. The present invention does not utilize the polarization of light. Cross does not modulate the transmission of the interrogating optical beam. Cross does not disclose the use of micromachined pixel structures, performance enhancing interferometric structures, vacuum conditions surrounding the pyro-optic material.

Tuck in US patent 5,100,218 describes a specific thermal imaging system based on the thermal rotation of polarized light as it is modulated with transmission through a thermally-sensitive liquid crystal. The pyro-optical liquid crystal is separated from the optical source and photodetector by multiple lenses and thus is not a composite, sandwich structure integrating the optical carrier source and photodetectors. Liquid crystal is the only pyro-optical material mentioned. Pyro-optical materials that do not require polarization are not disclosed. Tuck does not disclose any micromachined structures, interferometric structures, any means of controlling ambient temperature, or operation with partial vacuum conditions.

Carr in US patent 6,091,050 describes a micromachined platform that elevates automatically and without continuing power requirement which is useful for implementing pixels in the present invention. The platform is elevated to a desired level as a result of design and manufacturing controls to create the desired gap between the

pyro-optical film and the underlying substrate thereby providing a Fabry Perot interferometric means of enhancing the absorption of incident low-level radiation. Prior state of the art low-level radiation sensors are generally operated using a means of synchronously chopping the incoming low-level radiation to provide an image signal and a reference signal. Electronics for receiving the biased signal and the reference signal and for subtracting the reference signal from the biased signal to obtain an unbiased signal representing radiance differences emitted by objects in the scene is typically implemented in these systems. Carr and Sun in US patent 5,781,331 describe a micromachined shutter array that can serve as a means of synchronously chopping the incoming low-level radiation with the present invention.

Hanson et al in 5,486,698 describe an actuation means for periodic thermal coupling of a bolometer or ferroelectric sensing platform to a thermal reference substrate. This actuator operates by electrostatic force which is derived from an external voltage source and eliminates the need for an external mechanical chopper. Hanson does not disclose the use of the microactuation for the present case of a platform with a pyro-optical film.

### **Summary of the Invention**

It is one object of the present invention to provide an improved uncooled, micromachined sensor pixel structure which utilizes a monolithic and self-aligned pixel which responds to thermal radiation. The visible or near infrared transmissivity or reflectivity of the thermally-isolated platform within the pixel is a parameter sensitive to temperature and therefore provides the means of detecting incident, absorbed radiation. The parameters of the pyro-optical film contained within the platform that are temperature sensitive are the optical index of refraction, bandgap absorption, and free carrier absorption.

One embodiment of the invention includes a resistive heater element within the thermally-isolated platform integral with the pixel used to maintain a nominal temperature which is modulated by absorbed, incident radiation. The use of a resistive heater element integral with the platform also permits high speed thermal dithering to reduce the effects of thermal response hysteresis.



An advantage of the present pixel is that no polarizing or extinction analyzers are utilized as optical components. An interrogating visible or near infrared light beam is modulated by the platform and signal readout is obtained using an underlying photodetector.

A further uniqueness of the invention is that the resulting modulation index of the signal readout for a given absorbed, incident radiation power is maximized by the use of a first and a second Fabry-Perot film and sandwich structure integral to the pixel that enhance the response to low-level radiation. The pyro-optical film itself is configured as a first Fabry-Perot structure with an optical thickness to maximize the index of modulation. The precise thickness optimum is a complex function of the pyro-optical film dielectric constant and the free carrier absorption at the wavelength of the low-level radiation. The pyro-optical film is typically of high index of refraction and is the primary modulator of overall platform transmissivity to the optical carrier beam. The gap between the micromachined platform and the substrate mirror is also configured as part of the second Fabry-Perot structure to further increase the index of modulation by creating a node of maximum amplitude for the incident low-level radiation within the platform structure .

The pixel typically operates within a vacuum environment in order to provide adequate thermal isolation of the micromachined platform.

One embodiment of the present invention includes an optical focal plane array having thermal sensors formed by a multiplicity of the pixels and with a high degree of reticulation between adjacent pixel platforms to minimize thermal spreading between adjacent pixel elements and to improve the spatial modulation transfer function of the resulting thermal sensors. Tetherbeams are used to support the platform structures above the substrate and with shared support posts to reduce the total array area and to increase the fill factor of pixel utilization. The pixel array processes a low-level image in parallel without the need for line and row scanning circuits within the pixel structures. The image formatting is accomplished by an underlying photodetector array which is typically a CCD or optical CMOS imager.

## Brief Description of the Drawings

For a more complete understanding of the present invention, and the advantages thereof, reference is now made to the following descriptions taken with the accompanying drawings in which:

Fig. 1 is a block diagram representing the architectural functions of a pyro-optical sensor system based on modulation of the transmissivity of a carrier beam through a pyro-optical-film (prior art)

Fig. 2 is a cross-section schematic view of embodiment 1 a pyro-optical pixel with Fabry-Perot structures optimized for sensor performance within a specific infrared wavelength band

Fig. 3 is a cross-section schematic view of embodiment 2 three micromachined pixels with response optimized for three low level radiation wavelength bands: visible, 3-5 micrometers, and 8-12 micrometers

Fig. 4 is a cross-section schematic of embodiment 3 including 5 different micromachined pixels positioned over a CCD or CMOS imager arranged to provide sensitivity in separate arrays for red, blue, green (visible), 3-5 micrometers, and 8-12 micrometers low level radiation wavelengths

Fig. 5 Top view of a embodiment 4 containing an illustrative 2 x 2 pyro-optical pixel array with integral thermal dithering heater elements and shared anchor pedestal. Tetherbeams are flexible and permit the pixel to move up and down.

Fig. 6 Top view of embodiment 5 containing an illustrative 2 x 2 array of pixels overlying the metallic reflector in configuration for electrostatic actuation of the pixel. Pixel tether beams are flexible and permit the platform to move up and down.

## Description of Preferred Embodiments

In a first embodiment of Fig. 2 a collimated or approximately collimated optical beam from an external LED beam 21 illuminates the first Fabry-Perot structure 31. Two identical pixel structures constitute the array of Fig. 2. An infrared beam 22 of from an external low level source is focused onto the plane of the platform for processing by a second Fabry-Perot structure 23. Figure. 2 is a schematic cross-section view of a pyro-optical pixel with first and second Fabry-Perot structures optimized for sensor performance at specific wavelength bands for the optical carrier beam modulation and absorption of the low-level radiation, respectively. This cross-section shows two representative pixels positioned over a photodetector array 33. An optically transparent substrate 24 is either in the form of a starting wafer such as quartz or a film such as silicon nitride and silicon dioxide. Silicon nitride and silicon dioxide films can be deposited directly on the underlying photodetector and are often used to passivate the photodetector surface in processing technology well known to silicon photosensor art. Next, a first metal fully reflecting film 25 of aluminum or gold is sputtered and lithographically patterned on the substrate to form the cross-section shown in Fig. 2. The first metal film contains a path for the externally-sourced light emitting diode beam to transit through to the underlying pixel 32 in the photodetector. The first metal film 25 is a reflector for both the optical carrier beam and the low level radiation. Next a sacrificial film 26 is deposited and patterned over the first metal to form an underlying surface for the deposition of platform structural support anchors and tetherbeams 30. The sacrificial film 26 is a high temperature polyimide or another polymer that is patterned to provide vias for the anchors for the platform structural support. Next the

platform structural support 27 and tetherbeams 30 of LPCVD silicon dioxide are deposited at a maximum temperature of 350 deg C and patterned to define the platform, tetherbeams, and anchors. Tetherbeams 30 are deposited and patterned in the form of a bimorph or multimorph with two or more layers of differing thermal coefficient of expansion. Multiple depositions of tetherbeam 30 films are deposited and patterned to achieve the desired elevation and thermal conductivity as described in US patent #6,091,050. A film of pyro-optical material 31 comprising the first Fabry Perot structure is deposited over the platform using sputtering and annealing. A film of pyro-optical vanadium oxide is obtained by sputtering and thermal annealing. The thickness of the pyro-optical material is optimized to provide for a maximum modulation index of the transmission of the LED beam through the first Fabry Perot structure. The pyro-optic film 31 is of high index of refraction and provides for a quarter wavelength optical thickness for 800 nanometer photons when the vanadium oxide is approximately 85 nanometers in physical thickness. The thickness of the pyro-optical film 31 is the primary determiner of the first Fabry-Perot structure modulation function. An infrared absorbing film 29 for the purpose of absorbing the incident low level radiation is deposited and patterned using lift-off lithography. This film 29 can be obtained using polymers containing suspensions of carbon or metal nanoparticles. The pyro-optical film 31 and the absorbing film 29 are elevated with the platform and together, over the underlying first meta, a physical gap is thereby formed constituting the second Fabry Perot structure. The gap in the second Fabry Perot structure is a quarter wavelength of the low level infrared radiation which provides a maximum amplitude of the infrared radiation in the plane of the pyro-optical film 31. For example, in order to maximize the sensitivity of

the pixel to infrared radiation of 10 microns wavelength, the gap in the second Fabry Perot structure is 2.5 micrometers. An open area within the first metal 25 provides an optical path through to the CCD or CMOS detector. A sacrificial etch is now performed which removes all polyimide 26. This etch is accomplished using an oxygen plasma. The platform is thereby released and is now mechanically coupled to the substrate only through the tetherbeams 30. The released structure is adequately thermally isolated from the substrate to provide the desired thermal time constants for the platform with respect to the substrate. The substrate 24 serves as a heat sink for the extremely small variations in platform temperature resulting from the differential absorption of the low level radiation into said platform.

Embodiment 1 can also be fabricated using alternate thin film materials which are process compatible. For instance, the sacrificial film can be polysilicon if other exposed silicon surfaces are passivated with silicon dioxide. In this case the silicon sacrificial film can be effectively etched using xenon difluoride.

A second embodiment is shown in the cross-section view of Fig. 3. Here three different pixels 34,35,36 are shown, each tuned for a different infrared wavelength sensitivity. The pixels are tuned by means of the second Fabry Perot structure in which three different gaps are created using polyimide 37,38,39 of three different thicknesses. The optical carrier beam 21 is modulated as it passes through the pyro-optical film. The second embodiment is fabricated similar to the first embodiment except that separate lithographic masking steps are used to define the thicknesses of the three polyimide sacrificial films. At the end of processing polyimide films 37,38,39 are sacrificed by etching away using oxygen plasma. The pixels 34,35,36 are aligned vertically over the

pixels of the underlying photodetector array. The result is three different pixels 34,35,36 that can be arrayed to thousands of pixels permitting imaging of an infrared source with three wavelength bands. The three wavelength bands are separated by using temporal filtering or through the use of spatial addressing techniques within the photodetector array.

Another use of embodiment 2 is for readout with CCD or CMOS photodetector with separate sites for red, blue, and green (RBG) sensitivities. If the optical carrier beam is white visible light, then all photodetector pixels will receive a carrier beam signal. For the case using the RBG photodetector array, the platform pixels for each of the three filtered infrared wavelength bands are vertically aligned respectively over RBG sites. In this manner the RBG photodetector array readout contains the three separate infrared frames. For example, if the three filtered infrared wavelength bands are 2, 3-5, and 8-12 micrometers, the readout frames for red, blue, and green provide corresponding images of the three desired infrared bands. In this embodiment all readout frames are obtained with the optical carrier beam turned on.

Figure 4 shows a third embodiment where certain pixels 45,46,47 are designed to exhibit maximum transparency to visible light 21 and other pixels 48,49 are designed with second Fabry-Perot structures for maximum sensitivity to the infrared. Embodiment 3 permits an imaging of low level visible light with pixels 45,46,47 when the LED optical carrier source is disabled. In this case beam 21 becomes low level visible or ultraviolet light. If a color imager is used then a color image is obtained when the LED optical carrier source is disabled. When the LED optical carrier source is turned



on, the pixels with the enhanced infrared sensitivity are read out. With standard monochrome or color photodetector arrays there is not provision for separating the needed 5 different images and thus the image separation must be performed using a filter at the output of the photodetector. The LED carrier beam is extinguished during the exposure and readout of low level visible and ultraviolet wavelengths which in this instance are directly incident on the CCD or CMOS detector readout.

It is possible to filter images of all five wavelength bands from a single frame of the CCD or CMOS imager readout by operating with the optical carrier beam enabled continuously. In this case the entire spectrum of low level radiation is incident on each pixel platform. Those RGB pixels of the silicon imager underlying pixels 45,46, 47 provide the three visible color images at the typical frame 30 frames/sec rate when the signal from the optical carrier beam is filtered out and a temporal filter is used. The infrared portion of the signal at photodetector pixels underlying infrared pixels 48,49 can be filtered out from the single imager frame and used to image the two infrared bands. In this way all five wavelength bands are filtered from a single time frame of the color CCD or CMOS imager readout.

In embodiment 4 illustrated in Fig. 5 heating elements 51 are fabricated integral to the pyro-optic structure and used to obtain a thermal actuation of the height of the platform.. This embodiment can be implemented as an add-on to embodiments 1, 2, and 3. The heating elements 51 are patterned onto the structural platform using a resistive film such as tantalum silicide, vanadium dioxide, or tungsten silicide patterned by means of lift-off lithography. The electrical interconnections to the external heater power

source are contained within the tetherbeams 52 and consist of conducting or partial conducting patterned films. Embodiment 4 contains all of the process steps of embodiments 1,2, and 3 with additional processing to create the resistor heaters and interconnects. Tetherbeams are anchored by means of pedestal 54 to the substrate. The transparent opening 55 through the platform provides the path for the optical carrier beam or low level optical radiation to the underlying CCD or CMOS imager. Embodiment 4 of Fig. 5 can be used for either or both of two purposes. In a first use a relatively small temperature cycling of the platform causes the platform temperature to modulate over a limited range of less than 2 deg C. This is an action termed dithering used to reduce hysteresis effects in sensor systems. In a second use a large amplitude temperature cycling causes the platform to move toward and periodically touch the underlying substrate. In this second use a reference signal is established corresponding to the case of no infrared thermal signal and synchronous detection can be used in a manner similar to that used when a mechanical chopper is used with conventional infrared detection systems.

Embodiment 5 of Fig. 6 utilizes electrostatic actuation to dynamically tune the second Fabry Perot structure for selected pixels or the entire pixel array. This actuation feature permits more precise tuning to maximize the response of infrared pixels by controlling the gap in the second Fabry Perot structure. Alternatively, the electrostatic actuation can be used to dynamically change the infrared window of maximum sensitivity for a pixel or array of pixels. The pixels of embodiments 1,2,3, and 4 are typically suspended using tetherbeams 63 that are horizontal with the plane of the substrate 61. There is a flexibility in these tetherbeams that permits them to move vertically with respect to the plane of the platform. This vertical gap is initially controlled through

processing and the thickness of the sacrificial film as described in US patent 6,091,050. In embodiment 5 illustrated in Fig. 6 the platform 62 can itself form one electrode with respect to an opposing metal electrode on the substrate to form an electrostatic actuator. When a voltage potential is applied between the two electrodes the platform is attracted toward the substrate thereby controlling the gap of the second Fabry Perot structure. Embodiment 5 is fabricated into individual pixels of embodiments 1,2,3 or 4. Figure 6 shows the first metal reflector 61 with electrical contacts and a further electrical contact to the platform 62 conducting or semiconducting film thus providing an electrical connection to the two actuator electrodes. An external voltage source 63 provides the potential for the desired electrostatic field within the second Fabry Perot structure. This platform does not operate about a rotational axis, but instead is a planar structure moving up and down as a parallel plate structure. The electrical connection to the platform electrode is obtained through an interconnect running along at least one tetherbeam of each pixel. Embodiment 5 can be made compatible with embodiment 4 by patterning the first metal into two interconnects for each pixel. External voltage sources can control the heater temperature using structures of embodiment 4 and the platform elevation structures of embodiment 5 simultaneously and independently using split patterns of interconnect to the heater.

Each embodiment describes a thermally-sensitive pixel that requires a partial thermal isolation of the platform structure to obtain adequate thermal sensitivity. The pyro-optical structure is designed with thermal time constants in the range typically from 1 to 100 milliseconds to provide frame times in the range of 3 to 300 frames per sec. The

pixel will generally be operated in a vacuum to obtain and control the desired thermal time constant for the pyro-optical structure. In typical vacuum operation the thermal time constant of the pixel is determined by the thermal mass of the pyro-optical structure and the thermal conductivity of the tetherbeams. When the pixel is operated under vacuum conditions to the thermal conductivity and convection effects of air ambient are eliminated. The pixels of this invention can be operated in air ambient but with reduced sensitivity to infrared radiation.

The pixel-structures of this invention are also sensitive to heating resulting from absorbed incident millimeter wavelength radiation. The platform can contain structures that are tuned to specific millimeter wavelength bands to provide a detector for millimeter wavelength radiation. When an array of millimeter wavelength sensitive pixels is used, an imager for millimeter wavelength radiation is obtained.